

CORRECTIONAL CASE RECORDS ANALYST Qualifications Assessment

Department of Corrections and Rehabilitation

Departmental Open Examination Final Filing Date: Continuous

EXAMINATION INFORMATION

This examination will provide you with an opportunity to demonstrate significant aspects of your qualifications for the **Correctional Case Records Analyst** classification with the California Department of Corrections and Rehabilitation (CDCR). The information you provide will be rated based on objective criteria created by Subject Matter Experts. The rating will be used to determine your final score in this examination. If successful, your name will be placed on an eligible list for the classification listed above. The list will be used to fill positions statewide with CDCR. A "Conditions of Employment" section is included in this examination which will allow you to select the time bases and location(s) you are interested in working. Please print out, **personally complete**, and sign this examination form.

Read the instructions below carefully before completing the assessment. Failure to do so may result in an inability to process your assessment and disqualification from this examination.

AFFIRMATION STATEMENT

I hereby certify that the information provided on this Qualifications Assessment is true and correct to the best of my knowledge and contains no willful misrepresentations or falsifications. I also understand that if it is later discovered that I have made any false representations, I may be removed from the examination and/or the eligible list resulting from this examination, have adverse action taken against me which could result in loss of state employment, and/or suffer loss of right to compete in any future state examinations.

| Name (Printed): |
|------------------------|
| Address: |
| City/State/Zip Code: |
| Home Telephone Number: |
| Work Telephone Number: |
| |
| Signature: |
| Date: |

FILING INSTRUCTIONS

All applicants must complete and submit the following examination materials:

- Examination Application (STD. 678)
- Qualifications Assessment

By mail to:

Department of Corrections and Rehabilitation Talent Acquisition and Career Services P.O. Box 942883 Sacramento, CA 94283-0001

Or in person at:

Department of Corrections and Rehabilitation 1515 S Street Sacramento, CA 95811-7243 Attn: Office of Workforce Planning, 211S

If you are personally delivering your application and Qualifications Assessment, you must do so between the hours of **8:00 a.m.** and **5:00 p.m.**, Monday through Friday, excluding holidays, on or before the cut-off date to the street address listed above.

NOTE:

- All examination materials must have original signatures.
- Be sure your envelope has adequate postage if submitting via mail.
- Faxed or emailed copies will NOT be accepted under any circumstances.
- Make and keep a photocopy of the completed Qualifications Assessment for your records.

GENERAL INSTRUCTIONS

This Qualifications Assessment is the sole component of the examination. To obtain a position on the eligible list, a minimum score of 70% must be achieved. Therefore, please be sure to review and follow all instructions carefully as missing or incomplete information may result in disqualification or a lower score.

This examination is comprised of the following areas:

- Affirmation Statement (page 1)
- Filing Instructions / General Instructions (page 2)
- Prior State Employment / Conditions of Employment (pages 3 4)
- Rating Instructions (page 5)
- Knowledge & Experience Assessment (pages 6 12)

YOUR RESPONSES ARE SUBJECT TO VERIFICATION

Please keep in mind that all information provided on this Qualifications Assessment will be subject to verification at any time during the examination process, hiring process, and even after gaining employment. Anyone who misrepresents his/her experience will be subject to adverse consequences, which could include the following action(s):

- Removal from the examination process
- Removal from the eligible list / certification list
- Loss of State employment
- Loss of rights to compete in any future state examinations

PRIOR STATEMENT EMPLOYEMENT INFORMATION

Complete this next section ONLY if you have been previously dismissed from California State Civil Service employment by punitive action or as a result of disciplinary proceedings. IF THIS DOES NOT APPLY TO YOU, please skip this question.

Do you have written permission from the California Department of Human Resources (CalHR) to take this examination?





State Personnel Board, Rule 211 provides that a dismissed state employee may only participate in State Civil Service examinations if he/she has obtained prior consent from the State Personnel Board.

CONDITIONS OF EMPLOYMENT

PLEASE MARK THE APPROPRIATE BOX(ES) OF YOUR CHOICE.

If you are successful in this examination, your name will be placed on an active employment list and referred to fill vacancies Statewide according to the conditions you specify on this form.

TYPE OF APPOINTMENT YOU WILL ACCEPT

Please mark the appropriate box(es) - you may check "(A) Any" if you are willing to accept any type of employment.

□ (D) Permanent Full-Time □ (R) Permanent Part-Time □ (K) Limited-Term Full-Time □ (A) Any

If all are marked and you receive an appointment other than permanent full-time, your name will continue to be considered for permanent full-time positions.

LOCATION(S) YOU ARE WILLING TO WORK

□ 5 ANYWHERE IN THE STATE – If this box is marked, no further selection is necessary

NORTHERN REGION

- □ 0100 Alameda County
- □ 0200 Alpine County
- □ 0300 Amador County
- Mule Creek State Prison
- \Box 0400 Butte County
- □ 0500 Calaveras County
- \Box 0600 Colusa County
- □ 0700 Contra Costa County
- □ 0800 **Del Norte County**
- Pelican Bay State Prison
- □ 0900 El Dorado County
- □ 1100 Glenn County
- □ 1200 Humboldt County
- □ 1700 **Lake County**
- □ 1800 Lassen County
- High Desert State Prison

- □ 2100 Marin County
- CSP, San Quentin
- □ 2300 Mendocino County
- \Box 2500 Modoc County
- □ 2800 Napa County
- □ 2900 Nevada County
- □ 3100 Placer County
- □ 3200 Plumas County
- □ 3400 Sacramento County
- CSP, Sacramento
- Folsom State Prison
- Richard A. McGee Correctional Training Center
- □ 3800 San Francisco County
- □ 3900 San Joaquin County
- California Health Care Facility

- □ 4100 San Mateo County
- □ 4500 Shasta County
- □ 4600 Sierra County
- □ 4700 Siskiyou County
- □ 4800 Solano County
- California Medical FacilityCSP, Solano
- □ 4900 Sonoma County
- □ 5100 Sutter County
- □ 5200 Tehama County
- □ 5300 Trinity County
- □ 5500 Tuolumne County
- Sierra Conservation Center
- □ 5700 **Yolo County**
- □ 5800 Yuba County

CENTRAL REGION

- □ 1000 Fresno County
- Pleasant Valley State Prison
- \Box 1400 Inyo County
- □ 1500 **Kern County**
- California City Correctional Facility
- California Correctional Institution
- Kern Valley State Prison
- North Kern State Prison
- Wasco State Prison

□ 1600 – Kings County

- Avenal State Prison
- CSP, Corcoran
- CA Substance Abuse Treatment Facility
- □ 2000 Madera County
- Central California Women's Facility
- Valley State Prison
- □ 2200 Mariposa County
- \Box 2400 Merced County
- □ 2600 Mono County

□ 2700 – Monterey County

- Correctional Training Facility
- Salinas Valley State Prison
- □ 3500 San Benito County
- □ 4000 San Luis Obispo County
- California Men's Colony
- □ 4300 Santa Clara County
- □ 4400 Santa Cruz County
- □ 5000 Stanislaus County

□ 3700 – San Diego County

□ 5600 – Ventura County

RJ Donovan Correctional Facility

□ 4200 – Santa Barbara County

□ 5400 – Tulare County

SOUTHERN REGION

- □ 1300 Imperial Countv
- Calipatria State Prison
- · CSP, Centinela
- □ 1900 Los Angeles County
- CSP, Los Angeles County
- □ 3000 Orange County

- □ 3300 **Riverside Countv**
- California Rehabilitation Center
- Chuckawalla Valley State Prison
- Ironwood State Prison
- □ 3600 San Bernardino County
- California Institution for Men California Institution for Women

ADDRESS OR EMPLOYMENT CHANGES

After list release, successful candidates may update any address and/or availability for employment preference information by accessing their CalCareer Account (www.calcareers.ca.gov) on the California Department of Human Resources (CalHR) website.

Once logged into your CalCareer Account, from the My Account page:

- Update your personal information (name, address, phone number) by selecting "Contact Information" under • Account Management.
- Update your employment preference information (tenure, time base, location preferences) by selecting "Exam / Assessment Records" under Exams / Assessments, then selecting the list you have eligibility on, then selecting "Change Conditions of Employment" under Eligibility Record Actions.

RATING INSTRUCTIONS

Rate your knowledge and experience performing specific job-related actions, using the rating scale(s) below.

Respond to each statement, beginning on the following page, by indicating how the statement applies to you. You are required to respond to every statement by marking one option for each of the two scales provided. Responses may not be changed or added once submitted to the Office of Workforce Planning. Missing responses will result in a lower score.

In responding to each statement, you may refer to your FORMAL EDUCATION, FORMAL TRAINING COURSES, and/or WORK EXPERIENCE whether paid or not paid.

SCALE #1 - KNOWLEDGE RELATED TO PERFORMING THIS ACTION

Extensive Knowledge

I possess an expert knowledge level to the extent that I have effectively performed tasks related to this knowledge in the most difficult and complex situations **and** I have instructed others on specific aspects of this knowledge.

Moderate Knowledge

I possess an advanced knowledge level to the extent that I could effectively perform this task under the majority of circumstances or situations encountered.

Basic Knowledge

I possess a sufficient knowledge level that would allow me to perform this task successfully in routine situations.

Limited Knowledge

I have some knowledge of how to perform this task, but I may require additional instruction to apply my knowledge effectively.

No Knowledge

I have no knowledge of how to perform this task or what it may entail.

SCALE #2 - EXPERIENCE RELATED TO PERFORMING THIS ACTION

Extensive Experience

I have more than 4 years of experience in regularly performing this action **and** I have instructed others on this specific action.

Moderate Experience

I have more than 3 years, but less than 4 years of experience performing this action **and** I can perform it independently.

Basic Experience

I have more than 2 years, but less than 3 years of experience performing this action **and** I have performed it regularly with minimal or no assistance.

Limited Experience

I have less than 2 years of experience in performing this action **and** I may require assistance for successful performance.

No Experience

I have never performed this action.

| 1. Review documents to properly record information using data systems. | | |
|---|---|--|
| · · · | | |
| Knowledge related to performing this action | Experience related to performing this action | |
| Extensive Knowledge | Extensive Experience | |
| Moderate Knowledge | Moderate Experience | |
| Basic Knowledge | Basic Experience | |
| Limited Knowledge | Limited Experience | |
| No Knowledge | □ No Experience | |
| | | |
| 2. Review program history and properly record data | using data systems and/or internal actions. | |
| Knowledge related to performing this action | Experience related to performing this action | |
| Extensive Knowledge | □ Extensive Experience | |
| Moderate Knowledge | ☐ Moderate Experience | |
| □ Basic Knowledge | □ Basic Experience | |
| Limited Knowledge | ☐ Limited Experience | |
| □ No Knowledge | □ No Experience | |
| 3 | | |
| 3. Record information using data systems, reports, a | nd/or legal documents. | |
| Knowledge related to performing this action | Experience related to performing this action | |
| Knowledge related to performing this action Extensive Knowledge | Extensive Experience | |
| Moderate Knowledge | Moderate Experience | |
| Basic Knowledge | | |
| - | • | |
| □ Limited Knowledge | Limited Experience No Experience | |
| □ No Knowledge | □ No Experience | |
| | | |
| 4. Interpret technical material (i.e., policy and procedu | ures, laws, etc.) to apply information to processing records. | |
| Knowledge related to performing this action | Experience related to performing this action | |
| Extensive Knowledge | Extensive Experience | |
| Moderate Knowledge | Moderate Experience | |
| Basic Knowledge | Basic Experience | |
| Limited Knowledge | Limited Experience | |
| □ No Knowledge | □ No Experience | |
| | | |
| 5. Review individual case actions to meet court orders for removals and revocations using data systems. | | |
| | | |
| Knowledge related to performing this action | Experience related to performing this action | |

- Extensive KnowledgeModerate Knowledge
- □ Basic Knowledge
- □ Limited Knowledge
- \Box No Knowledge

- □ Extensive Experience
- □ Moderate Experience
- \Box Basic Experience
- □ Limited Experience
- \square No Experience

| 6. Determine appropriate qualifications based on in | nformation in a document. |
|--|--|
| Knowledge related to performing this action | Experience related to performing this action |
| Extensive Knowledge | \Box Extensive Experience |
| ☐ Moderate Knowledge | □ Moderate Experience |
| □ Basic Knowledge | □ Basic Experience |
| ☐ Limited Knowledge | Limited Experience |
| □ No Knowledge | |
| | |
| 7. Verify legal mandates are applied appropriately | in accordance to governmental rules and regulations. |
| Knowledge related to performing this action | Experience related to performing this action |
| □ Extensive Knowledge | Extensive Experience |
| ☐ Moderate Knowledge | ☐ Moderate Experience |
| □ Basic Knowledge | □ Basic Experience |
| □ Limited Knowledge | Limited Experience |
| □ No Knowledge | No Experience |
| | |
| 8. Notify internal stakeholders of necessary information | ation to complete their required roles and responsibilities. |
| | |
| Knowledge related to performing this action | Experience related to performing this action |
| Extensive Knowledge | Extensive Experience |
| □ Moderate Knowledge | □ Moderate Experience |
| □ Basic Knowledge | □ Basic Experience |
| □ Limited Knowledge | □ Limited Experience |
| No Knowledge | □ No Experience |
| 9. Serve as a liaison between internal stakehold communication skills, correspondence, memoral | ders and/or various agencies to provide information using |
| | |
| Knowledge related to performing this action | Experience related to performing this action |
| Extensive Knowledge | Extensive Experience |
| Moderate Knowledge | Moderate Experience |
| Basic Knowledge | Basic Experience |
| Limited Knowledge | Limited Experience |
| □ No Knowledge | □ No Experience |
| 10. Compile statistical data to provide information to | management and/or other departmental stakeholders using |
| policies and procedures, mathematical computation | |
| Knowledge related to performing this action | Experience related to performing this action |

- □ Moderate Knowledge
- □ Basic Knowledge
- □ Limited Knowledge
- \square No Knowledge

on

- \Box Moderate Experience
- □ Basic Experience
- □ Limited Experience
- □ No Experience

| 11. Collaborate with internal and external stakeholders to provide a service and help them meet their expectations. | | | |
|--|--|--|--|
| Knowledge related to performing this action Extensive Knowledge Moderate Knowledge Basic Knowledge Limited Knowledge No Knowledge | Experience related to performing this action Extensive Experience Moderate Experience Basic Experience Limited Experience No Experience | | |
| 12. Respond to inquiries from stakeholders and/or the public. | | | |
| Knowledge related to performing this action Extensive Knowledge Moderate Knowledge Basic Knowledge Limited Knowledge No Knowledge | Experience related to performing this action Extensive Experience Moderate Experience Basic Experience Limited Experience No Experience | | |
| 13. Respond to requests regarding specific issues using departmental forms. | | | |
| Knowledge related to performing this action Extensive Knowledge Moderate Knowledge Basic Knowledge Limited Knowledge No Knowledge | Experience related to performing this action Extensive Experience Moderate Experience Basic Experience Limited Experience No Experience | | |
| 14. Address problems and complaints regarding policy and procedures. | | | |
| Knowledge related to performing this action Extensive Knowledge Moderate Knowledge Basic Knowledge Limited Knowledge No Knowledge | Experience related to performing this action Extensive Experience Moderate Experience Basic Experience Limited Experience No Experience | | |
| 15. Collect information to respond to inquiries and research questions. | | | |
| Knowledge related to performing this action Extensive Knowledge Moderate Knowledge Basic Knowledge Limited Knowledge No Knowledge | Experience related to performing this action Extensive Experience Moderate Experience Basic Experience Limited Experience No Experience | | |

□ No Knowledge

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| 16. Act as a lead person to provide guidance using exp | pertise, communication skills, policies and procedures, etc. |
|---|---|
| Knowledge related to performing this action | Experience related to performing this action |
| □ Extensive Knowledge | Extensive Experience |
| Moderate Knowledge | □ Moderate Experience |
| □ Basic Knowledge | Basic Experience |
| Limited Knowledge | Limited Experience |
| □ No Knowledge | No Experience |
| | |
| 17. Provide training to staff of functions using interpers | sonal, communication, and leadership skills. |
| Knowledge related to performing this action | Experience related to performing this action |
| Extensive Knowledge | Extensive Experience |
| ☐ Moderate Knowledge | □ Moderate Experience |
| □ Basic Knowledge | Basic Experience |
| □ Limited Knowledge | ☐ Limited Experience |
| □ No Knowledge | □ No Experience |
| | |
| 18. Participate in staff meetings to share information reskills. | elated to topics/issues using teamwork and communication |
| Knowledge related to performing this action | Experience related to performing this action |
| Extensive Knowledge | □ Extensive Experience |
| Moderate Knowledge | ☐ Moderate Experience |
| □ Basic Knowledge | Basic Experience |
| Limited Knowledge | Limited Experience |
| No Knowledge | □ No Experience |
| | edge of policies and procedures using various methods of |
| instruction, communication, and analytical skills. | |
| Knowledge related to performing this action | Experience related to performing this action |
| Extensive Knowledge | □ Extensive Experience |
| Moderate Knowledge | Moderate Experience |
| Basic Knowledge | Basic Experience |
| Limited Knowledge | Limited Experience |
| No Knowledge | □ No Experience |
| 20. Communicate with staff, management, and interna | l/external stakeholders using E-mail computer software. |
| | |
| Knowledge related to performing this action | Experience related to performing this action |
| Extensive Knowledge Mederate Knowledge | Extensive Experience Moderate Experience |
| ☐ Moderate Knowledge ☐ Basic Knowledge | Moderate Experience Basic Experience |
| Limited Knowledge | Limited Experience |
| | |

□ No Knowledge

□ No Experience

21. Refer to legal documents to notify external agencies of identified discrepancies.

Knowledge related to performing this action

- □ Extensive Knowledge
- □ Moderate Knowledge
- □ Basic Knowledge
- □ Limited Knowledge
- □ No Knowledge

Experience related to performing this action

- \Box Extensive Experience
- □ Moderate Experience
- Basic Experience
- □ Limited Experience
- □ No Experience

22. Write job related memos/letters/correspondence using word processing software.

Knowledge related to performing this action

- □ Extensive Knowledge
- □ Moderate Knowledge
- □ Basic Knowledge
- □ Limited Knowledge
- □ No Knowledge

Experience related to performing this action

- Extensive Experience
- □ Moderate Experience
- □ Basic Experience
- □ Limited Experience
- No Experience

23. Prepare written and electronic documents to various agencies.

Knowledge related to performing this action

- □ Extensive Knowledge
- □ Moderate Knowledge
- □ Basic Knowledge
- □ Limited Knowledge
- □ No Knowledge

Experience related to performing this action

- □ Extensive Experience
- □ Moderate Experience
- □ Basic Experience
- □ Limited Experience
- □ No Experience

24. Conduct audits to meet mandated timeframes using departmental policy and procedures.

Knowledge related to performing this action

- □ Extensive Knowledge
- \Box Moderate Knowledge
- □ Basic Knowledge
- □ Limited Knowledge
- □ No Knowledge

Experience related to performing this action

- Extensive Experience
- □ Moderate Experience
- □ Basic Experience
- □ Limited Experience
- □ No Experience

25. Verify the completeness and accuracy of forms and documents.

Knowledge related to performing this action

- □ Extensive Knowledge
- □ Moderate Knowledge
- □ Basic Knowledge
- □ Limited Knowledge
- □ No Knowledge

Experience related to performing this action

- □ Extensive Experience
- □ Moderate Experience
- □ Basic Experience
- Limited Experience
- □ No Experience

| Knowledge related to performing this action | Experience related to performing this action |
|--|---|
| Extensive Knowledge | □ Extensive Experience |
| □ Moderate Knowledge | ☐ Moderate Experience |
| □ Basic Knowledge | Basic Experience Limited Experience No Experience |
| ☐ Limited Knowledge ☐ No Knowledge | |
| | |
| Knowledge related to performing this action | Experience related to performing this action |
| Extensive Knowledge | Extensive Experience |
| ☐ Moderate Knowledge | Moderate Experience |
| □ Basic Knowledge | □ Basic Experience |
| □ Limited Knowledge | |
| □ No Knowledge | □ No Experience |
| Take an effective course of action when dealing w assignments. | ith difficult situations/individuals in the course of completir |
| Knowledge related to performing this action | Experience related to performing this action |
| Extensive Knowledge | Extensive Experience |
| □ Moderate Knowledge | Moderate Experience |
| Basic Knowledge | □ Basic Experience |
| □ Limited Knowledge | □ Limited Experience |
| □ No Knowledge | □ No Experience |
| 29. Conduct research assignments using retrieval and | d compilation skills from data systems. |
| Knowledge related to performing this action | Experience related to performing this action |
| Extensive Knowledge Moderate Knowledge | Extensive Experience Moderate Experience |
| Basic Knowledge | |
| Limited Knowledge | Limited Experience |
| □ No Knowledge | |
| | |
| 30. Establish work plans and timelines for the comple | tion of tasks, assignments and projects. |
| Knowledge related to performing this action | Experience related to performing this action |
| Extensive Knowledge | Extensive Experience |
| ☐ Moderate Knowledge | ☐ Moderate Experience |

- □ Basic Knowledge
- □ Limited Knowledge
- \Box No Knowledge

- \square Basic Experience
- $\hfill\square$ Limited Experience
- □ No Experience

| 31. Provide follow-up on various work assignments and in | quiries. | |
|--|--|--|
| Knowledge related to performing this action Extensive Knowledge Moderate Knowledge Basic Knowledge Limited Knowledge No Knowledge | Experience related to performing this action Extensive Experience Moderate Experience Basic Experience Limited Experience No Experience | |
| 32. Monitor and track resources related to various assignment | nents and/or projects. | |
| Knowledge related to performing this action Extensive Knowledge Moderate Knowledge Basic Knowledge Limited Knowledge No Knowledge | Experience related to performing this action Extensive Experience Moderate Experience Basic Experience Limited Experience No Experience | |
| 33. Operate electronic data systems (e.g., information, storage, retrieval systems) to access, enter, organize, track, and retrieve information. | | |
| Knowledge related to performing this action Extensive Knowledge Moderate Knowledge Basic Knowledge Limited Knowledge No Knowledge | Experience related to performing this action Extensive Experience Moderate Experience Basic Experience Limited Experience No Experience | |
| 34. Process a record using mathematical computations. | | |
| Knowledge related to performing this action Extensive Knowledge Moderate Knowledge Basic Knowledge Limited Knowledge No Knowledge | Experience related to performing this action Extensive Experience Moderate Experience Basic Experience Limited Experience No Experience | |
| 35. Complete mathematical computations using computer | software and programs. | |
| Knowledge related to performing this action Extensive Knowledge Moderate Knowledge Basic Knowledge Limited Knowledge No Knowledge | Experience related to performing this action Extensive Experience Moderate Experience Basic Experience Limited Experience No Experience | |
| THIS CONCLUDES THE EXAMINATION | | |

REVISION DATE: 7/13/2023 - FB