

CORRECTIONAL CASE RECORDS SUPERVISOR Qualifications Assessment

Department of Corrections and Rehabilitation

Departmental Open Examination Final Filing Date: Continuous

EXAMINATION INFORMATION

This examination will provide you with an opportunity to demonstrate significant aspects of your qualifications for the **Correctional Case Records Supervisor** classification with the California Department of Corrections and Rehabilitation (CDCR). The information you provide will be rated based on objective criteria created by Subject Matter Experts. The rating will be used to determine your final score in this examination. If successful, your name will be placed on an eligible list for the classification listed above. The list will be used to fill positions statewide with CDCR. A "Conditions of Employment" section is included in this examination, which will allow you to select the time bases, and location(s) you are interested in working. Please print out, **personally complete**, and sign this examination form.

Read the instructions below carefully before completing the assessment. Failure to do so may result in an inability to process your assessment and disqualification from this examination.

AFFIRMATION STATEMENT

I hereby certify that the information provided on this Qualifications Assessment is true and correct to the best of my knowledge and contains no willful misrepresentations or falsifications. I also understand that if it is later discovered that I have made any false representations, I may be removed from the examination and/or the eligible list resulting from this examination, have adverse action taken against me, which could result in loss of state employment, and/or suffer loss of right to compete in any future state examinations.

me (Printed):
ldress:
ty/State/Zip Code:
ome Telephone Number:
ork Telephone Number:
gnature:
nte:

FILING INSTRUCTIONS

All applicants must complete and submit the following examination materials:

- Examination Application (STD. 678)
- Qualifications Assessment

By mail to:

Department of Corrections and Rehabilitation Office of Workforce Planning P.O. Box 942883 Sacramento, CA 94283-0001

Or in person at:

Department of Corrections and Rehabilitation 1515 S Street Sacramento, CA 95811-7243 Attn: Office of Workforce Planning, 101N

If you are personally delivering your application and Qualifications Assessment, you must do so between the hours of **8:00 a.m.** and **5:00 p.m.**, Monday through Friday, excluding holidays, to the street address listed above.

NOTE:

- All examination materials must have original signatures.
- Be sure your envelope has adequate postage if submitting via mail.
- Faxed or emailed copies will NOT be accepted under any circumstances.
- Make and keep a photocopy of the completed Qualifications Assessment for your records.

GENERAL INSTRUCTIONS

This Qualifications Assessment is the sole component of the examination. To obtain a position on the eligible list, a minimum score of 70% must be achieved. Therefore, please be sure to review and follow all instructions carefully as missing or incomplete information may result in disqualification or a lower score.

This examination is comprised of the following areas:

- Affirmation Statement (page 1)
- Filing Instructions / General Instructions (page 2)
- Prior State Employment / Conditions of Employment / Address and Employment Changes (pages 3 4)
- Rating Instructions (page 5)
- Knowledge & Experience Assessment (pages 6 10)
- Recruitment Questionnaire (pages 11- 12)

YOUR RESPONSES ARE SUBJECT TO VERIFICATION

Please keep in mind that all information provided on this Qualifications Assessment will be subject to verification at any time during the examination process, hiring process, and even after gaining employment. Anyone who misrepresents his/her experience will be subject to adverse consequences, which could include the following action(s):

- Removal from the examination process
- Removal from the eligible list / certification list
- Loss of State employment
- Loss of rights to compete in any future state examinations

PRIOR STATE EMPLOYMENT INFORMATION

Complete this next section ONLY if you have been previously dismissed from California State Civil Service employment by punitive action or as a result of disciplinary proceedings. IF THIS DOES NOT APPLY TO YOU, please skip this question.

Do you have written permission from the California Department of Human Resources (CalHR) to take this examination? YES NO State Personnel Board, Rule 211 provides that a dismissed state employee may only participate in State Civil Service examinations if he/she has obtained prior consent from the State Personnel Board. **CONDITIONS OF EMPLOYMENT** PLEASE MARK THE APPROPRIATE BOX(ES) OF YOUR CHOICE. If you are successful in this examination, your name will be placed on an active employment list and referred to fill vacancies Statewide according to the conditions you specify on this form. TYPE OF APPOINTMENT YOU WILL ACCEPT Please mark the appropriate box(es) - you may check "(A) Any" if you are willing to accept any type of employment. ☐ (D) Permanent Full-Time ☐ (R) Permanent Part-Time ☐ (K) Limited-Term Full-Time ☐ (A) Any If all are marked and you receive an appointment other than permanent full-time, your name will continue to be considered for permanent full-time positions. LOCATION(S) YOU ARE WILLING TO WORK ☐ 5 ANYWHERE IN THE STATE - If this box is marked, no further selection is necessary **NORTHERN REGION** □ 0100 – Alameda County ☐ 2100 – Marin County ☐ 4100 – San Mateo County · CSP, San Quentin □ 0200 – Alpine County ☐ 4500 – Shasta County ☐ 2300 – Mendocino County □ 0300 – Amador County ☐ 4600 – Sierra County • Mule Creek State Prison ☐ 2500 – Modoc County ☐ 4700 – Siskiyou County Pine Grove Youth Conservation ☐ 2800 – **Napa County** ☐ 4800 – Solano County Camp □ 2900 – Nevada County California Medical Facility ☐ 0400 – **Butte County** · CSP, Solano ☐ 3100 – Placer County ☐ 0500 – Calaveras County ☐ 4900 – Sonoma County ☐ 3200 – Plumas County □ 0600 – Colusa County ☐ 5100 – Sutter County ☐ 3400 – Sacramento County □ 0700 – Contra Costa County ☐ 5200 – Tehama County · CSP, Sacramento ☐ 0800 – **Del Norte County** Folsom State Prison ☐ 5300 – Trinity County • Pelican Bay State Prison • Richard A. McGee Correctional ☐ 5500 – Tuolumne County **Training Center** □ 0900 – El Dorado County Sierra Conservation Center

☐ 1100 – Glenn County

☐ 1200 – Humboldt County ☐ 1700 – **Lake County**

☐ 1800 – Lassen County

California Correctional Center

· High Desert State Prison

☐ 3800 – San Francisco County

☐ 3900 – San Joaquin County

Deuel Vocational Institute

· California Health Care Facility

O.H. Close YCF

N.A. Chaderjian YCF

Northern California YCC

☐ 5700 – **Yolo County**

☐ 5800 – Yuba County

CONDITIONS OF EMPLOYMENT CONTINUED

CENTRAL REGION

☐ 1000 – Fresno County ☐ 1600 – Kings County ☐ 2700 – Monterey County • Avenal State Prison • Pleasant Valley State Prison · Correctional Training Facility • CSP, Corcoran ☐ 1400 – **Inyo County** • Salinas Valley State Prison • CA Substance Abuse Treatment ☐ 3500 – San Benito County ☐ 1500 – **Kern County** Facility · California City Correctional ☐ 4000 – San Luis Obispo ☐ 2000 – Madera County Facility County · Central California Women's • California Correctional Institution California Men's Colony Facility Kern Valley State Prison • Valley State Prison ☐ 4300 – Santa Clara County North Kern State Prison · Wasco State Prison ☐ 2200 – Mariposa County ☐ 4400 – Santa Cruz County ☐ 2400 – Merced County ☐ 5000 – Stanislaus County ☐ 2600 – Mono County ☐ 5400 – Tulare County **SOUTHERN REGION** ☐ 1300 – Imperial County ☐ 3300 – Riverside County ☐ 3700 – San Diego County • Calipatria State Prison • RJ Donovan Correctional Facility California Rehabilitation Center · CSP, Centinela • Chuckawalla Valley State Prison ☐ 4200 – Santa Barbara County • Ironwood State Prison ☐ 1900 – Los Angeles County ☐ 5600 – Ventura County ☐ 3600 – San Bernardino · CSP, Los Angeles County Ventura YCF County

ADDRESS OR EMPLOYMENT CHANGES

☐ 3000 – Orange County

After list release, successful candidates may update any address and/or availability for employment preference information by accessing their CalCareer Account (www.jobs.ca.gov) on the California Department of Human Resources (CalHR) website or by notifying CDCR at the following address:

California Institution for MenCalifornia Institution for Women

California Department of Corrections and Rehabilitation Office of Workforce Planning P.O. Box 942883 Sacramento, CA 94283-0001 Attn: Certification Unit

RATING INSTRUCTIONS

Rate your knowledge and experience performing specific job-related actions, using the rating scale(s) below.

Respond to each statement, beginning on the following page, by indicating how the statement applies to you. You are required to respond to every statement by marking one option for each of the two scales provided. Responses may not be changed or added once submitted to the Office of Workforce Planning. Missing responses will result in a lower score.

In responding to each statement, you may refer to your FORMAL EDUCATION, FORMAL TRAINING COURSES, and/or WORK EXPERIENCE whether paid or not paid.

SCALE #1 - KNOWLEDGE RELATED TO PERFORMING THIS ACTION

Extensive Knowledge

I possess an expert knowledge level to the extent that I have effectively performed tasks related to this knowledge in the most difficult and complex situations **and** I have instructed others on specific aspects of this knowledge.

Moderate Knowledge

I possess an advanced knowledge level to the extent that I could effectively perform this task under the majority of circumstances or situations encountered.

Basic Knowledge

I possess a sufficient knowledge level that would allow me to perform this task successfully in routine situations.

Limited Knowledge

I have some knowledge of how to perform this task, but I may require additional instruction to apply my knowledge effectively.

No Knowledge

I have no knowledge of how to perform this task or what it may entail.

SCALE #2 - EXPERIENCE RELATED TO PERFORMING THIS ACTION

Extensive Experience

I have more than 4 years of experience in regularly performing this action **and** I have instructed others on this specific action.

Moderate Experience

I have more than 3 years, but less than 4 years of experience performing this action **and** I can perform it independently.

Basic Experience

I have more than 2 years, but less than 3 years of experience performing this action **and** I have performed it regularly with minimal or no assistance.

Limited Experience

I have less than 2 years of experience in performing this action **and** I may require assistance for successful performance.

No Experience

I have never performed this action.

1.	procedures.	ity of records, in accordance with policies and
Kn	owledge related to performing this action Extensive Knowledge Moderate Knowledge Basic Knowledge Limited Knowledge No Knowledge	Experience related to performing this action Extensive Experience Moderate Experience Basic Experience Limited Experience No Experience
2.	Monitor the work of staff and/or coworkers to mapplicies and regulations.	aintain accuracy of records, in accordance with
Kn	owledge related to performing this action Extensive Knowledge Moderate Knowledge Basic Knowledge Limited Knowledge No Knowledge	Experience related to performing this action Extensive Experience Moderate Experience Basic Experience Limited Experience No Experience
3.	Establish confident and cooperative working relate entities, in accordance with rules and regulations.	ionships with departmental staff and/or outside
Kn	owledge related to performing this action Extensive Knowledge Moderate Knowledge Basic Knowledge Limited Knowledge No Knowledge	Experience related to performing this action Extensive Experience Moderate Experience Basic Experience Limited Experience No Experience
4.	Maintain cooperative working relationships with accordance with rules and regulations.	departmental staff and/or outside entities, in
Kn	owledge related to performing this action Extensive Knowledge Moderate Knowledge Basic Knowledge Limited Knowledge No Knowledge	Experience related to performing this action Extensive Experience Moderate Experience Basic Experience Limited Experience No Experience
5.	Provide leadership to ensure staff/coworkers are peand regulations.	erforming their duties, in accordance with policies
Kn	owledge related to performing this action ☐ Extensive Knowledge ☐ Moderate Knowledge ☐ Basic Knowledge ☐ Limited Knowledge ☐ No Knowledge	Experience related to performing this action Extensive Experience Moderate Experience Basic Experience Limited Experience No Experience

6.	Conduct training on procedures, in accordance	ce with statute, case law, and policies and regulations.
Kr	nowledge related to performing this action	Experience related to performing this action
	☐ Extensive Knowledge	☐ Extensive Experience
	☐ Moderate Knowledge	☐ Moderate Experience
	☐ Basic Knowledge	☐ Basic Experience
	☐ Limited Knowledge	☐ Limited Experience
	□ No Knowledge	☐ No Experience
7.		esses for accuracy, completeness, and compliance of ems, in accordance with policies and regulations.
Kr	nowledge related to performing this action	Experience related to performing this action
	☐ Extensive Knowledge	☐ Extensive Experience
	☐ Moderate Knowledge	☐ Moderate Experience
	☐ Basic Knowledge	☐ Basic Experience
	☐ Limited Knowledge	☐ Limited Experience
	☐ No Knowledge	☐ No Experience
	_ No thiowicage	□ No Experience
	Overes the completion of present and	d farmer word by staff and/an according to accord
8.	·	d forms used by staff and/or coworkers to ensure
		database systems, in accordance with statute, case
	law, and policies and regulations.	
Kr	nowledge related to performing this action	Experience related to performing this action
IXI	☐ Extensive Knowledge	☐ Extensive Experience
	☐ Moderate Knowledge	☐ Moderate Experience
	☐ Basic Knowledge	☐ Basic Experience
	☐ Limited Knowledge	☐ Limited Experience
	☐ No Knowledge	☐ No Experience
	= 110 Tallounougo	= No Experience
9.		matters to process cases by interpreting and applying
	laws and policies, using automated database	systems and available resource material.
K.	sowledge related to performing this action	Experience related to performing this action
NI	nowledge related to performing this action ☐ Extensive Knowledge	Experience related to performing this action ☐ Extensive Experience
	☐ Moderate Knowledge	☐ Moderate Experience
	☐ Basic Knowledge	☐ Basic Experience
	<u> </u>	•
	☐ Limited Knowledge☐ No Knowledge	☐ Limited Experience☐ No Experience
	□ No Kilowieuge	□ No Experience
10	 Perform quality control of record information with policies and regulations. 	n using automated database systems, in accordance
V.	nowledge related to performing this action	Experience related to performing this action
rλí	☐ Extensive Knowledge	☐ Extensive Experience
	☐ Moderate Knowledge	☐ Moderate Experience
	☐ Basic Knowledge	☐ Moderate Experience
	<u> </u>	•
	☐ Limited Knowledge	☐ Limited Experience
	☐ No Knowledge	□ No Experience

11. Monitor staff and/or coworkers workload for accuracy of mathematic computations using cursory audits, in accordance with statute, case law, and policies and regulations.				
Knowledge related to performing this action ☐ Extensive Knowledge ☐ Moderate Knowledge ☐ Basic Knowledge ☐ Limited Knowledge ☐ No Knowledge	Experience related to performing this action Extensive Experience Moderate Experience Basic Experience Limited Experience No Experience			
12. Review court documents for accuracy, in accordance with statute, case law, and policies and procedures.				
Knowledge related to performing this action ☐ Extensive Knowledge ☐ Moderate Knowledge ☐ Basic Knowledge ☐ Limited Knowledge ☐ No Knowledge	Experience related to performing this action Extensive Experience Moderate Experience Basic Experience Limited Experience No Experience			
13. Compute complex mathematical computation statute, case law, and policies and regulations	s for accuracy to prevent errors, in accordance with			
Knowledge related to performing this action Extensive Knowledge Moderate Knowledge Basic Knowledge Limited Knowledge No Knowledge	Experience related to performing this action Extensive Experience Moderate Experience Basic Experience Limited Experience No Experience			
and application of written and verbal directives, in accordance with statute, case law, and policies and regulations.				
Knowledge related to performing this action ☐ Extensive Knowledge ☐ Moderate Knowledge ☐ Basic Knowledge ☐ Limited Knowledge ☐ No Knowledge	Experience related to performing this action Extensive Experience Moderate Experience Basic Experience Limited Experience No Experience			
15. Research all necessary source documents in Federal/State Laws, in accordance with statut	n response to inquiries to ensure compliance using e, case law, and policies and regulations.			
Knowledge related to performing this action ☐ Extensive Knowledge ☐ Moderate Knowledge ☐ Basic Knowledge ☐ Limited Knowledge ☐ No Knowledge	Experience related to performing this action Extensive Experience Moderate Experience Basic Experience Limited Experience No Experience			

16. Analyze, interpret and apply directives to assist in completion of audits using source documents, in accordance with statute, case law, and policies and regulations.					
Knowledge related to performing this action	Experience related to performing this action				
☐ Extensive Knowledge	☐ Extensive Experience				
☐ Moderate Knowledge	☐ Moderate Experience				
☐ Basic Knowledge	☐ Basic Experience				
☐ Limited Knowledge	☐ Limited Experience				
☐ No Knowledge	☐ No Experience				
17. Review policies and regulations to develop and statute, governmental rules, and regulations.	17. Review policies and regulations to develop and write internal office procedures, in accordance with statute, governmental rules, and regulations.				
Knowledge related to performing this action	Experience related to performing this action				
☐ Extensive Knowledge	☐ Extensive Experience				
☐ Moderate Knowledge	☐ Moderate Experience				
☐ Basic Knowledge	☐ Basic Experience				
☐ Limited Knowledge	☐ Limited Experience				
☐ No Knowledge	☐ No Experience				
3					
	ta and/or information using effective communication, ordance with statute, case law, and policies and				
Manual a language of the section of the section					
Knowledge related to performing this action	Experience related to performing this action				
☐ Extensive Knowledge	☐ Extensive Experience				
☐ Moderate Knowledge☐ Basic Knowledge	☐ Moderate Experience☐ Basic Experience				
☐ Limited Knowledge	☐ Limited Experience				
☐ No Knowledge	☐ No Experience				
19. Review indeterminate/determinate sentences to ensure appropriate and accurate interpretation of legal documents, in accordance with statute, case law, and policies and procedures.					
Knowledge related to performing this action	Experience related to performing this action				
☐ Extensive Knowledge	☐ Extensive Experience				
☐ Moderate Knowledge	☐ Moderate Experience				
☐ Basic Knowledge	☐ Basic Experience				
☐ Limited Knowledge	☐ Limited Experience				
☐ No Knowledge	☐ No Experience				
 Represent the Department in court proceeding accordance with policies and regulations. 	gs to provide support and validation of documents in				
Knowledge related to performing this action	Experience related to performing this action				
☐ Extensive Knowledge	☐ Extensive Experience				
☐ Moderate Knowledge	☐ Moderate Experience				
☐ Basic Knowledge	☐ Basic Experience				
☐ Limited Knowledge	☐ Limited Experience				
☐ No Knowledge	☐ No Experience				

21. Act as a departmental liaison with Federal, State, County and outside agencies, as well as the public, in accordance with policies and regulations.		
Knowledge related to performing this action ☐ Extensive Knowledge ☐ Moderate Knowledge ☐ Basic Knowledge ☐ Limited Knowledge ☐ No Knowledge	Experience related to performing this action Extensive Experience Moderate Experience Basic Experience Limited Experience No Experience	
22. Facilitate and/or participate in the hiring proces accordance with policies and regulations.	s using approved methods of filling vacancies, in	
Knowledge related to performing this action ☐ Extensive Knowledge ☐ Moderate Knowledge ☐ Basic Knowledge ☐ Limited Knowledge ☐ No Knowledge	Experience related to performing this action Extensive Experience Moderate Experience Basic Experience Limited Experience No Experience	
23. Initiate the Progressive Disciplinary process to in policies and regulations.	mprove employee performance, in accordance with	
Knowledge related to performing this action ☐ Extensive Knowledge ☐ Moderate Knowledge ☐ Basic Knowledge ☐ Limited Knowledge ☐ No Knowledge	Experience related to performing this action Extensive Experience Moderate Experience Basic Experience Limited Experience No Experience	
24. Promote the Equal Employment Opportunity (EE free of discrimination and harassment.	EO) program to maintain a work environment that is	
Knowledge related to performing this action ☐ Extensive Knowledge ☐ Moderate Knowledge ☐ Basic Knowledge ☐ Limited Knowledge ☐ No Knowledge	Experience related to performing this action Extensive Experience Moderate Experience Basic Experience Limited Experience No Experience	

THIS CONCLUDES THE EXAMINATION

REVISION DATE: 11/15/2019 - LF

Applicants are asked to voluntarily provide the information below. The following data will be used for statistical data gathering and reporting purposes. This questionnaire will be separated from the examination and this information will not be used in any employment decisions. **GENDER** ☐ Male ☐ Female □ Non-binary RACE AND ETHNICITY Check **one** box that best describes your race or ethnicity. **ASIAN PACIFIC ISLANDER** ☐ Black or African American ☐ Multiple Asian** ☐ Multiple Pacific Islander*** ☐ American Indian or Alaska ☐ Indian ☐ Guamanian Native ☐ Cambodian ☐ Hawaiian ☐ Hispanic or Latino (alone or ☐ Chinese □ Samoan in combination with any ☐ Filipino ☐ Other Pacific Islander other race) □ Japanese ☐ White ☐ Korean ☐ Multiple Races* □ Laotian □ Vietnamese ☐ Other Asian

RECRUITMENT QUESTIONNAIRE

AUTHORITIES

Government Code sections 8310.5, 19705, 19790, 19792(h) and California Code of Regulations, Title 2, sections 599.980, 11013(b) authorize the State of California to collect demographic information on job applicants and exam participants for analysis and statistical purposes.

CONTINUE TO NEXT PAGE TO COMPLETE RECRUITMENT QUESTIONNAIRE

^{*}If you identify with more than one race that is Non-Hispanic or Latino, select Multiple Races.

^{**}If you identify with more than one Asian ethnicity, select Multiple Asian.

^{***}If you identify with more than one Pacific Islander ethnicity, select Multiple Pacific Islander.

RECRUITMENT QUESTIONNAIRE

On behalf of the California Department of Corrections and Rehabilitation, we thank you for participating in this examination. To assist us in our recruitment efforts, please tell us how you heard about this examination.

Please check the appropriate box and, where relevant, specify your answer:		
☐ Internet (www.cdcr.ca.gov, www.jobs.ca.gov)		
☐ Job Fair		
☐ Friend/Family Member		
☐ Staffing Agency (Spearhead, Manpower)		
☐ Career Assistance Centers (e.g., Employment Development Department Career Network, Workforce Center)		
☐ State Agency (please specify):		
☐ Military Base (please specify):		
□ Local Union (please specify):		
☐ Other (please specify):		
California Department of Corrections and Rehabilitation		
□ Flyer		
□ Banner		
□ Employee		
☐ Exam Bulletin E-Blast		
☐ Institution Bulletin Board		
Social Media		
□ Facebook		
□ Indeed		
□ Monster		
Educational Facility		
□ College		
☐ Trade School		
☐ School Association		
☐ Specialized Training/Certification Program (e.g., Job Corps, Skill Centers, Regional Occupational Programs)		
□ Local Apprenticeship Program		
Public Advertisements		
□ Bus		
☐ Truck		
☐ Billboard		
☐ Mobile Ad		
Out of State Resource		
□ Arizona		
☐ Oregon		
□ Nevada		

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